

## Vibrational stability and electronic structure of a B<sub>80</sub> fullerene

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We investigate the vibrational stability and the electronic structure of the proposed icosahedral fullerene-like cage structure of B<sub>80</sub> [N. G. Szwacki, A. Sadzadeh, and B. I. Yakobson, *Phys. Rev. Lett.* **98**, 166804 (2007)], by an all electron density-functional theory using polarized Gaussian basis functions containing 41 basis functions per atom. The vibrational analysis of B<sub>80</sub> indicates that the icosahedral structure is vibrationally unstable with seven imaginary frequencies. The equilibrium structure has  $T_h$  symmetry and a *smaller* gap of 0.96 eV between the highest occupied and the lowest unoccupied molecular orbital energy levels compared to the icosahedral structure. The static dipole polarizability of a B<sub>80</sub> cage is 149 Å<sup>3</sup>, and the first ionization energy is 6.4 eV. The B<sub>80</sub> cage has rather large electron affinity of 3 eV making it a useful candidate as electron acceptor if it is synthesized. The infrared and Raman spectra of the highly symmetric structure are characterized by a few absorption peaks.

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### I. INTRODUCTION

Since the discovery of C<sub>60</sub>, numerous studies have shown possible existence of hollow inorganic cage-like structures.<sup>1–11</sup> Recent work by Szwacki *et al.*<sup>12</sup> added boron to the list of elements that can form fullerene-like hollow cage structure. Several studies have reported stable clusters, rings, and nanotubes of boron.<sup>8,13–22</sup> Small boron clusters containing less than 20 atoms tend to prefer planar or quasi-planar structures. The planar to three-dimensional structural transition in a boron cluster seems to occur at B<sub>20</sub>.<sup>23</sup> The honeycomb sheet of boron, i.e., the graphene equivalent of boron, is found to be unstable. Boron prefers the buckled triangular planar sheet over the hexagonal honeycomb structure.<sup>24</sup> Recent density-functional calculations by Tang and Ismail-Beigi,<sup>25</sup> however, show that boron sheets made up of triangular and hexagonal motifs are more stable than both the honeycomb and buckled triangular sheet. These sheets can be thought of as triangular sheets doped with holes and are suggested to be precursors of boron nanotubes. The stability of these boron sheets is explained using the concept of three-center bonding. Previous studies on the formation and chemical bonding in boron nanotubes was reviewed by Quandt and Boustani.<sup>21,26</sup> The structural models of boron fullerenes was proposed earlier by Boustani.<sup>27</sup> The recent report of B<sub>80</sub> fullerene by Szwacki *et al.*<sup>12</sup> shows that the B<sub>80</sub> fullerene is a stable hollow cage. The basic structure of this cluster is similar to that of C<sub>60</sub> with 12 pentagonal and 20 hexagonal rings. The B<sub>80</sub> cluster has an additional boron atom at the center of each hexagon (cf. one). It is known that the boron analog of C<sub>60</sub> is not a stable structure. However, these additional 20 boron atoms at the centers of the hexagonal rings stabilize the B<sub>60</sub> to form a stable B<sub>80</sub> fullerene with a binding energy of 5.77 eV per atom. The structure of a B<sub>80</sub> cluster was suggested by Szwacki *et al.* to maintain the same icosahedral point group symmetry as in the C<sub>60</sub> fullerene. They also predicted that the icosahedral B<sub>80</sub>, as in the case of

C<sub>60</sub>, has the lowest unoccupied molecular orbital (LUMO) of  $t_{1u}$  symmetry. However, they found that the highest occupied molecular orbital (HOMO) belongs to the  $t_{2u}$  irreducible representation.<sup>12</sup>

The purpose of the present article is to investigate various electronic structure related properties of this boron cluster within density-functional formalism by an all electron linear combination of atomic orbital method. We first show that the equilibrium structure of the B<sub>80</sub> fullerene has  $T_h$  symmetry. We then study its response to applied static electric field and compare its chemical reactivity with that of the C<sub>60</sub> fullerene using density-functional indicators of reactivity. Finally, the infrared and Raman spectra of B<sub>80</sub> is provided for its possible detection.

### II. COMPUTATIONAL DETAILS

Our calculations are performed using the NRLMOL suite of codes<sup>28,29</sup> in which the Kohn-Sham molecular orbitals are expressed as linear combination of Gaussians. The exchange-correlation effects are treated within the generalized gradient approximation using the Perdew-Burke-Ernzerhof<sup>30</sup> parametrization. We use a large polarized Gaussian basis set that is specifically optimized<sup>31</sup> for the Perdew-Burke-Ernzerhof<sup>30</sup> parametrization. The exchange-correlation contributions to the Hamiltonian matrix and energy are obtained using efficient optimal numerical grids.<sup>28,29</sup> The structural optimization is performed by the limited memory Broyden-Fletcher-Goldfarb-Shanno algorithm. The vibrational frequencies are obtained by diagonalizing the dynamical matrix built by finite difference approximation.

### III. RESULTS

We have first optimized the structure of B<sub>80</sub> (see Fig. 1) using the icosahedral point group by the NRLMOL code.<sup>28,29</sup> The resultant electronic structure shows some discrepancy

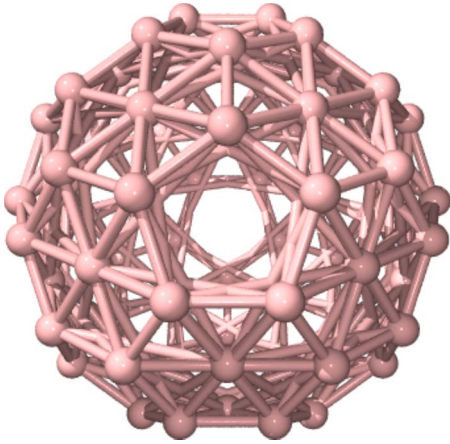


FIG. 1. (Color online) Optimized geometry of a  $B_{80}$  cage.

with respect to the previous study by Szwacki.<sup>12</sup> The HOMO level is fivefold degenerate and belongs to the  $h_u$  irreducible representation instead of  $t_{2u}$  as reported earlier. Besides this small discrepancy, the present calculation also revealed that a more stable  $B_{80}$  cluster has symmetry lower than the icosahedral symmetry as reported by Szwacki *et al.* To verify if this lower symmetry structure is due to symmetry breaking distortion of the icosahedral cage, full vibrational frequency calculations were performed within the harmonic approximation. These calculations show that the icosahedral  $B_{80}$  cluster is vibrationally unstable with two sets of imaginary frequencies. One of the two sets of modes is fourfold degenerate and the other one is a threefold degenerate mode. The vibrational motions of atoms in these two modes are depicted in Fig. 2. These modes are the out-of-plane motion of the boron atoms capping hexagons.

Full unconstrained relaxation of  $B_{80}$  cluster shows that the cluster has  $T_h$  symmetry. This result is consistent with a recent study, which reports the  $T_h$   $B_{80}$  cluster.<sup>32</sup> Our study shows that the  $T_h$  cluster is vibrationally stable. The  $T_h$   $B_{80}$  cluster has five inequivalent atoms whose positions in Bohr are (0.0000, 7.9117, 1.6024), (4.3303, 4.3303, 4.3303), (2.6304, 6.8420, 3.2061), (5.1888, -5.8587, -1.6209), and (0.0000, 2.5093, 6.6176). The positions of all atoms in the  $B_{80}$  cluster can be obtained using the position of the non-equivalent atoms and using the symmetry operations of  $T_h$ . The four threefold symmetry axes are along the (111) directions.

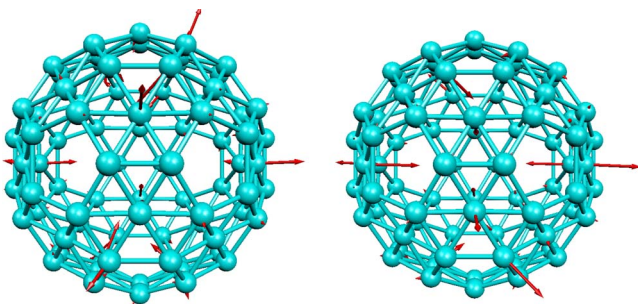


FIG. 2. (Color online) Unstable vibrational modes of an icosahedral  $B_{80}$  cage.

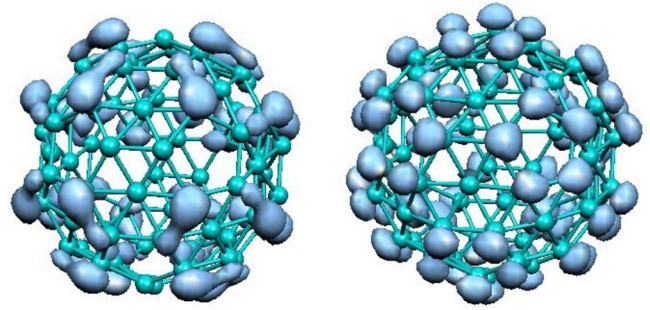


FIG. 3. (Color online) The orbital densities of the HOMO (left) and LUMO (right) of the  $B_{80}$  cluster.

The total energy of the  $T_h$  structure is lower by 0.05 eV compared to the icosahedral structure. Its electronic structure is  $7a_u, 12a_g, 22e_g, 22t_g, 27t_u,$  and  $12e_u$ . The  $h_u$  symmetry of the HOMO of the icosahedral structure is split into a threefold degenerate  $t_u$  and two degenerate  $e_u$  levels in the  $T_h$  structure. The splitting also reduces the HOMO-LUMO gap in the  $T_h$  structure from 1.1 to 0.96 eV. The doubly degenerate HOMO belongs to  $e_u$  irreducible representation. The threefold degenerate LUMO is of  $t_u$  symmetry. The HOMO and LUMO orbital densities are shown in Fig. 3. The HOMO density is mostly localized on the bonds shared by two hexagonal rings. The  $C_{60}$  fullerene can be built from the graphene sheet by introducing pentagonal rings. These pentagonal rings are defects that introduce curvature in the planar sheet, and 12 pentagons make the structure closed. The  $C_{60}$  is the smallest fullerene in which the pentagonal rings are isolated. In analogy with  $C_{60}$ , the structure of the  $B_{80}$  fullerene can also be thought to be derived from the triangular boron sheet. However, its structure is also compatible with the hole doped triangular sheet.<sup>25</sup> As in  $C_{60}$ , the 12 pentagonal rings in  $B_{80}$  are isolated. The bonding in  $B_{80}$  can also be explained using the three-center bonding.<sup>25</sup>

Apart from the structural similarity, both the  $B_{80}$  and  $C_{60}$  have 240 valence electrons. Thus, it is interesting to examine the similarity in their electronic properties. One such property is the static dipole polarizability, which characterizes systems response to an applied static electric field to the first order. A number of methods have been developed to compute the dipole and higher polarizabilities. In this work, we use the finite-field method. In the finite-field method the total energies and/or dipole moments are computed self-consistently for various values of the applied electric field.<sup>33-37</sup> The polarizability tensor is then built from well-converged total energies or dipole moments using the finite-difference approximation. An accurate estimate of the polarizability using a linear combination of atomic orbitals requires use of a large basis set supplemented with diffuse functions. The Gaussian basis set used in this work consists of  $5s$ -,  $4p$ -, and  $3d$ -type Gaussians each contracted from 12 primitive functions. This basis is augmented with  $6d$ -type functions. Thus, in total 3280 basis functions are used in the polarizability calculation. More details about the construction and performance of the basis set can be found in Refs. 31, 38, and 39. Due to the quasispherical symmetry of the  $B_{80}$  cluster, the off-diagonal elements of the polarizability

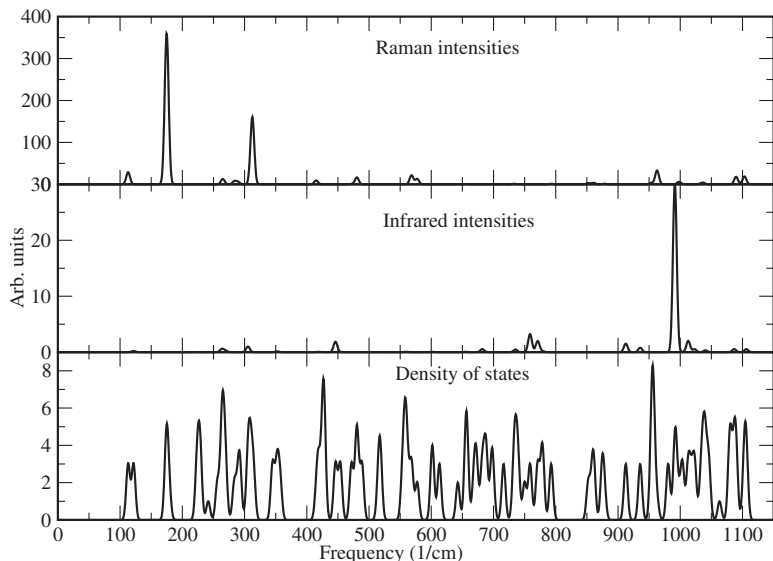


FIG. 4. The vibrational density of states, infrared absorption intensity and the Raman scattering intensities of the  $B_{80}$  cluster with  $T_h$  structure.

tensor are zero. The mean polarizability obtained by the finite-field method is  $149 \text{ \AA}^3$ . The mean polarizability of  $C_{60}$  fullerene determined using the same set of approximations is  $82 \text{ \AA}^3$ . The larger polarizability of the  $B_{80}$  cluster is principally due to its large volume. Using classical electrostatics, the polarizability of a spherical shell of radius  $R$  can be shown to be  $R^3$ . Unlike the  $C_{60}$  fullerene in which all atoms are at same distance from its center of mass, the atoms in the  $B_{80}$  cluster are at slightly different distances ( $3.74\text{--}4.27 \text{ \AA}$ ) from the center of the  $B_{80}$  cluster. Using the radius of the outermost atoms, the volume of the  $B_{80}$  cluster can be estimated to be roughly 1.74 times that of the  $C_{60}$  fullerene. Using 1.74 as a scaling factor, the polarizability of the  $C_{60}$  fullerene can be used to estimate the polarizability of the  $B_{80}$  cluster. This rough estimate is  $143 \text{ \AA}^3$ , in good agreement with the polarizability obtained by the finite-field method. The finite-field (screened) polarizability does not change due to symmetry lowering of the  $B_{80}$  cluster. The unscreened polarizability, however, shows significant change upon symmetry lowering. It increases from 421 to  $598 \text{ \AA}^3$  due to the decrease in the HOMO-LUMO gap and due to changes in low-lying dipole allowed transitions. These transitions must be strongly screened to give identical values of polarizability for  $I_h$  and  $T_h$  clusters.

The calculation of the vibrational frequencies establishes the stability of the  $T_h$  structure. The vibrational density of states of the  $B_{80}$  cluster is shown in Fig. 4. The bottom panel shows the density of states and the upper two panels show the infrared and Raman activity of the  $B_{80}$  cluster, respectively. The cluster shows a very strong infrared peak at  $991 \text{ cm}^{-1}$ . The other significant peaks occur at  $446$ ,  $759$ ,  $771$ ,  $912$ , and  $1012 \text{ cm}^{-1}$ . The Raman spectrum shows a few low-frequency modes with strong peaks at  $112$ ,  $174$ ,  $176$ , and  $312 \text{ cm}^{-1}$ . Another peak is seen at  $963 \text{ cm}^{-1}$ .

The vibrational frequencies determined in analysis of the vibrational stability of the  $B_{80}$  cluster can also be used to compute the vibrational contribution to the dipole polarizability. In general, the vibrational polarizability is the second largest contribution to the total polarizability tensor. For the case of ionic and hydrogen bonded systems, the vibrational

contribution may be comparable to or even larger than the electronic contribution. The vibrational contribution to the polarizability tensor within the double harmonic approximation<sup>40</sup> is given as

$$\alpha_{i,j}^{\text{vib}} = \sum_{\mu} Z_{i,\mu} \omega_{\mu}^{-2} Z_{j,\mu}^T.$$

Here,  $\omega_{\mu}^{-2}$  is the frequency of the  $\mu$ th vibrational mode and  $Z_{i,\mu}$  is the effective charge tensor (see Ref. 40 for more details). The vibrational contribution to polarizability is  $5.5 \text{ \AA}^3$ , which is much smaller than the electronic contribution but is larger than that observed in carbon fullerenes.<sup>40</sup>

To understand the reactivity of the  $B_{80}$  cluster, we have calculated its ionization potential and the electron affinity. The first ionization energy is the minimum energy required to remove an outermost electron. It can be determined from the self-consistent total energy difference of the  $B_{80}$  cluster and its singly charged cation.<sup>41</sup> The calculated ionization energy is  $6.4 \text{ eV}$  and is smaller than that of  $C_{60}$  ( $7.6 \text{ eV}$ ). Similarly, the electron affinity can be computed from self-consistent total energy difference of the neutral cluster and its anion. The calculated electron affinity is  $3 \text{ eV}$ . The reported electron affinity and ionization potential are vertical quantities, that is, they are determined at the fixed geometry of the neutral  $B_{80}$  cluster. The effect of the cluster reorganization upon addition of an electron is small in this highly symmetric cluster. The chemical hardness is an indicator of the reactivity of the molecule. In the density functional theory, the hardness is the second derivative of total energy ( $E$ ) with respect to the number of electrons ( $N$ ) at constant external potential. It can be approximated as half of the difference between the ionization potential and electron affinity. This definition immediately points out that the  $B_{80}$  cluster is more reactive than the  $C_{60}$  fullerene. The rather large electron affinity makes the  $B_{80}$  cluster, if synthesized, an interesting candidate as an electron receptor. The larger electron affinity also suggests the possibility of coating this cluster with alkali or transition atoms, which then can be tested as hydrogen storage materials. Other possibilities are using it as

a building block in ionic cluster assembled materials or as an electron receptor in a photovoltaic device.

To summarize, the vibrational stability of the recently reported  $B_{80}$  cluster is examined by computing the harmonic vibrational frequencies. The  $B_{80}$  cluster is found to be unstable in icosahedral symmetry but stable in the reduced  $T_h$  symmetry. The electronic structure of  $B_{80}$  cluster changes upon symmetry lowering. The symmetry lowering decreases the HOMO-LUMO gap from 1.1 (in  $I_h$  structure) to 0.96 eV. Its static dipole polarizability ( $149 \text{ \AA}^3$ ), however, does not alter appreciably. Its ionization potential is 6.4 eV. The  $T_h$  structure has large electron affinity of 3 eV making it a candidate as an electron receptor. Using chemical hardness as an indicator of reactivity,  $B_{80}$  is found to be more reactive than

the  $C_{60}$  fullerene. The infrared and Raman spectra are provided.

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